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OCT 2 9 2004

## FAX TRANSMISSION COVER SHEET

Date:

October 29\_, 2004

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From:

R. Burns Israelsen

Our File:

15436.250.26.1

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#### Comments:

Please see attached.

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| CERTIFICATE OF TRA Applicant(s): Rosenburg, et a | ANSMISSION BY FA          | CSIMILE (37 CFR 1.8)   |               | Docket No.<br>15436.250.26.1 |
|--|---------------------------|--|---------------|------------------------------|
| Application No.<br>10/694,119                    | Filing Date<br>10/27/2003 | Examiner<br>Unknown  |               | Group Art Unit<br>2874       |
| Invention: PRECISION FER                         | RRULE CONNECTOR AS        | SSEMBI.Y   |               |                              |
|  |                           |  |               |                              |
| I hereby certify that this _                     |                           | Information Disclosure Statemer<br>(Identify type of correspondence) | nt            |                              |
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| on October 29,                                   |                           |  |               |                              |
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| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))  |   |                  | Docket No.<br>15436.250.26.1 |   |  |  |  |
|--|---|------------------|------------------------------|---|--|--|--|
| In Re  | Application Of  | Rosenburg, et al | •                            |   |  |  |  |
| Арр  | oplication No. Filing Date Examiner Customer No. Group Art Unit Confirmation N  |                  |                              |   |  |  |  |
| 10   | 10/694,119 10/27/2003 Unknown 022913 2874 2505  |                  |                              |   |  |  |  |
| Title:   | Title: PRECISION FERRULE CONNECTOR ASSEMBLY   |                  |                              |   |  |  |  |
| -  | Address to:  Commissioner for Patents  P.O. Box 1450  Alexandria, VA 22313-1450   |                  |                              |   |  |  |  |
| 1  |   |                  | 37 CFR 1.97(b)               |   |  |  |  |
| 1. 6   | 1. A The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. |                  |                              |   |  |  |  |
|  |   |                  | 37 CFR 1.97(c)               | • |  |  |  |
| 2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: |   |                  |                              |   |  |  |  |
|  | ☐ the statement specified in 37 CFR 1.97(e);  |                  |                              |   |  |  |  |
| OR   |   |                  |                              |   |  |  |  |
| the fee set forth in 37 CFR 1.17(p)  |   |                  |                              |   |  |  |  |
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| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))  |  |                               |             |                       | Docket No.<br>15436.250.26.1     |          |          |
|--|--|-------------------------------|-------------|-----------------------|----------------------------------|----------|----------|
| In Re Application: Rosenburg, et al.   |  |                               |             |                       |                                  |          |          |
| Application No.  | Filing Date                                    | Examine                       | 7           | Group Art Unit        |                                  | o.       |          |
| 10/694,119   | 10/27/2003                                     | Unknow                        | 1           | 022913                | 2874                             | 2505     | $\dashv$ |
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| (Date)   |  |                               |             |                       |                                  |          |          |
|  | Oringlen                                       | sen                           |             |                       |                                  |          |          |
| Signature of Person Mailing Correspondence   |  |                               |             |                       |                                  |          |          |
| Chris A. Jeusen  Typed or Printed Name of Person Signing Certificate  Typed or Printed Name  |  |                               |             |                       | ne of Person Mailing Certificate |          |          |
| *This certif   | icate may only be use                          | d if paying by                |             | · · · · · ·           |                                  |          |          |
| *This certificate may only be used if paying by deposit account.  Dated: October 27, 2004.   |  |                               |             |                       |                                  |          |          |
| Signature  |  |                               |             |                       |                                  |          |          |
| R. BURNS ISRAELSEN   |  |                               |             |                       |                                  |          |          |
| Attorney for Applicant Registration No. 42,685   |  |                               |             |                       |                                  |          |          |
| Customer No. 022913  |  |                               |             |                       |                                  |          |          |
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# RECEIVED CENTRAL FAX CENTER

PATENT APPLICATION
OCT 2 9 2004Docket No. 15436.250.26.1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re application of |                                      | )                    |
|----------------------|--------------------------------------|----------------------|
|                      | Rosenburg, et al.                    | )<br>)               |
| Serial No.:          | 10/694,119                           | ) Art Unit<br>) 2874 |
| Confirmation No.:    | 2505                                 | )<br>)               |
| Filed:               | October 27, 2003                     | )                    |
| For:                 | PRECISION FERRULE CONNECTOR ASSEMBLY | )<br>)               |
| Examiner:            | Unknown                              | Ś                    |

### INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Morcover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English reference, if any, are also enclosed.

Please credit any over payment or charge any additional fees to Deposit Account No. 3-3178 of the undersigned.

DATED October 27, 2004.

Respectfully submitted,

R. BURNS ISRAELSEN
Attorney for Applicant

Registration No. 42,685 Customer No. 022913

RBI: caj CAJ0000000718V001 Form PTO-1449

Applicant:

Rosenburg, et al.

Serial No.:

10/694,119

Att'y Docket No.: 15436.250.26.1

Sheet 1 of 2

Group: 2874

Filing Date: For:

October 27, 2003

PRECISION FERRULE CONNECTOR ASSEMBLY

## INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

### U.S. Patent Documents

| Examiner<br>Initial*         | Document Number    | Issuc<br><u>Date</u>     | <u>Name</u>                     |
|------------------------------|--------------------|--------------------------|---------------------------------|
| 1                            | 6,357,929          | 03/19/2002               | Rochrs, et al.                  |
| 2                            | 6,485,189          | 11/26/2002               | Gilliland, et al.               |
| 3                            | 6,714,366          | 03/30/2004               | Wisccarver, et al.              |
|                              |                    | Foreign Patent Documents |                                 |
| Examiner<br><u>Initial</u> * | Document<br>Number | Publication              | Country or <u>Patent Office</u> |
| NONE                         |                    | -                        |                                 |

Other Documents (including author, title, pertinent pages, etc.)

Examiner Initial\*

NONE

Examiner:

Date Considered:

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

Applicant:

Rosenburg, et al.

Serial No.:

10/694,119

Att'y Docket No.: 15436.250.26.1

Sheet 2 of 2

Group: 2874

Filing Date: For:

October 27, 2003 PRECISION FERRULE CONNECTOR ASSEMBLY

## References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same

manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Date Considered:

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